# 5 $C^{1,\alpha}$ -blowup solution to inviscid flow

We recall the vorticity-stream formulation of the 3D Euler flow:

$$\begin{cases} \frac{1}{2}\partial_t \omega + u \cdot \nabla \omega = \omega \cdot \nabla u, \\ -\Delta \psi = \omega, \\ u = \nabla \times \psi. \end{cases}$$

### 5.1 Formulation

Particularly, for the axisymmetric flow without swirl, the formulation is transformed as following under cylindrical system for in variables  $(r, x_3, t)$ :<sup>11</sup>

$$\begin{cases} -\frac{1}{2}\partial_t\omega + u^r\partial_r\omega + u^3\partial_3\omega = \frac{u^r}{r}\omega,\\ \partial_r^2\psi + \frac{1}{r}\partial_r\psi - \frac{1}{r^2}\psi + \partial_3\psi = -\omega,\\ (u^r, u^3) = (\partial_3\psi, -\partial_r\psi - \frac{1}{r}\psi). \end{cases}$$

Moreover, if we set the  $\alpha$ -related spherical coordinate:

$$\rho = \sqrt{r^2 + x_3^2}, \tan \theta = \frac{x_3}{r}, R = \rho^{\alpha},$$

and let  $\omega(r, x_3, t) = \Omega(R, \theta, t), \psi(r, x_3, t) = \rho^2 \Psi(R, \theta, t)$ , then the spherical form is

$$\begin{cases} \frac{1}{2}\partial_t \Omega + U(\Psi)\partial_\theta \Omega + V(\Psi)\alpha D_R \Omega = R(\Psi)\Omega, \\ L(\Psi) = -\Omega, \end{cases}$$
(5.1)

where the linear operators involved are defined as

$$U \coloneqq -3 \operatorname{Id} -\alpha D_R, V \coloneqq \partial_{\theta} - \tan \theta,$$
  

$$R \coloneqq \frac{1}{\cos \theta} \left( 2\sin \theta + \alpha \sin \theta D_z + \cos \theta \partial_{\theta} \right),$$
  

$$L \coloneqq L_R + L_{\theta} \coloneqq \left( \alpha^2 D_R^2 + \alpha (5 + \alpha) D_R \right) + \left( \partial_{\theta} + \partial_{\theta} (\tan \theta \cdot) - 6 \operatorname{Id} \right).$$

It is noticeable that  $\sin 2\theta$  is in the kernel of  $L_{\theta}$  and  $\sin \theta \cos^2 \theta$  is in the kernel of  $L_{\theta}^*$ , i.e.

$$L_{\theta}(2\theta) = 0 \text{ and } \left(L_{\theta}f, \sin\theta\cos^2\theta\right)_{L^2_{\theta}} = 0, \forall f \in L^2_{\theta}([0, \frac{\pi}{2}])$$

<sup>&</sup>lt;sup>11</sup>Indeed for these equations,  $\omega, \psi$  correspond to the angular component in the cylindrical system of the vorticity and stream respectively.

Following we will construct angular weights according to these facts. Now let  $z = \frac{R}{(1-(1+\mu))^{1+\lambda}}$  and consider the self-similar ansatz as:

$$\Omega(R,\theta,t) = \frac{1}{1 - (1 + \mu)t} F(z,\theta), \Psi(R,\theta,t) = \frac{1}{1 - (1 + \mu)t} \Phi(z,\theta).$$

Substitute them into the spherical form (5.1), then we obtain the profile equations:

$$\begin{cases} (1+\mu)F + (1+\mu)(1+\lambda)D_zF + 2U(\Phi)\partial_\theta F + 2\alpha V(\Phi)D_zF = 2R(\Phi)F, \\ \alpha^2 D_z\Phi + \alpha(5+\alpha)D_z\Phi + \partial_\theta^2\Phi + \partial_\theta(\tan\theta\Phi) - 6\Phi = -F. \end{cases}$$
(5.2)

## 5.2 Weighted Sobolev spaces

Following we will introduce some weighted spaces which suit our topic. Define the radial weight, angular weight and weak angular weight respectively as

$$w_z(z) = \frac{(1+z)^2}{z^2}, w_\theta = (\sin\theta\cos^2\theta)^{-\frac{\gamma}{2}}, v_\theta = (\sin\theta\cos^2\theta)^{-\frac{\eta}{2}}$$

with  $\gamma = 1 + \frac{\alpha}{10}$  and  $\eta = \frac{99}{100}$ . Now we define  $\mathcal{H}^k([0,\infty) \times [0,\frac{\pi}{2}])$  and  $\mathcal{W}^{l,\infty}([0,\infty) \times [0,\frac{\pi}{2}])$  as closure of  $C_c^{\infty}([0,\infty) \times [0,\frac{\pi}{2}])$  in the following norms respectively:

$$\begin{split} \|f\|_{\mathcal{H}^k}^2 &\coloneqq \sum_{i \le k} \left\| D_z^i f w_z v_\theta \right\|_{L^2}^2 + \sum_{i+j \le k, j > 0} \left\| D_z^i D_\theta^j f w_z w_\theta \right\|_{L^2}^2, \\ \|f\|_{W^{l,\infty}} &\coloneqq \sum_{i \le l} \left\| \tilde{D}_z^i f \right\|_{L^\infty} + \sum_{i+j \le l, j \ge 0} \left\| \tilde{D}_z^i D_\theta^j f \frac{\sin^{-\frac{\alpha}{5}} 2\theta}{\alpha + \sin 2\theta} \right\|_{L^\infty}, \end{split}$$

where  $D_z = z\partial_z$ ,  $\tilde{D}_z = (z+1)\partial_z$  and  $D_\theta = \sin(2\theta)\partial_\theta$ . We will show that

$$\Phi_s = \frac{1}{4\alpha} \sin 2\theta L_{12}F$$

is the main singular term of  $\Phi$  during elliptic estimate in weighted spaces (see Theorem 5.5 and its remark), where the operator  $L_{12}$  is defined by

$$L_{12}f(z) \coloneqq \int_{z}^{\infty} \int_{0}^{\frac{\pi}{2}} \frac{f(z',\theta')K(\theta')}{z'} d\theta' dz', K(\theta) = 3\sin\theta\cos^{2}\theta.$$

Consequently, let  $\hat{\Phi} = \Phi - \Phi_s$ , then the vorticity profile of (5.2) can be written as

$$(1+\mu)F + (1+\mu)(1+\lambda)D_zF + \frac{1}{2\alpha}U(\sin 2\theta L_{12}F)\partial_\theta F + \frac{1}{2}V(\sin 2\theta L_{12}F)D_zF - \frac{1}{2\alpha}R(\sin 2\theta L_{12}F)F = -2U(\hat{\Phi})\partial_\theta F - 2\alpha V(\hat{\Phi})D_zF + 2R(\hat{\Phi})F.$$

As the explicit form of U, V, R and  $\Phi_s$  are already given above, we can calculate out

$$U(\sin 2\theta L_{12}F) = -3\sin 2\theta L_{12}F + \alpha \sin 2\theta (F, K)_{\theta},$$
  

$$V(\sin 2\theta L_{12}F) = 2(\cos 2\theta - \sin^2 \theta)L_{12}F,$$
  

$$R(\sin 2\theta L_{12}F) = 2L_{12}F - 2\alpha \sin^2 \theta (F, K)_{\theta}.$$

Here  $L_{12}$ -related terms will be the main difficulties, so we preserve them in the left hand and write the equation as

$$F + D_z F - \frac{1}{\alpha} F L_{12} F - \left(\frac{3}{2\alpha} L_{12} F D_\theta F - (\cos 2\theta - \sin^2 \theta) L_{12} F D_z F\right) = -\mu F - (\mu + \lambda + \mu \lambda) F + \mathcal{N},$$

where the remain term

$$\mathcal{N} = -\frac{1}{2\alpha} U(\hat{\Phi}) \partial_{\theta} F - \frac{1}{2} V(\hat{\Phi}) D_z F + \frac{1}{2\alpha} R(\hat{\Phi}) F - (F, K)_{\theta} \left( \frac{1}{2} D_{\theta} F + (\sin^2 \theta) F \right).$$

The first part (except the transport terms) is the fundamental model with explicit solution

$$F_*(z,\theta) = \alpha \frac{\Gamma(\theta)}{c^*} \frac{2z}{(1+z)^2},$$

where  $c^* = \int_0^{\frac{\pi}{2}} K(\theta) \Gamma(\theta) d\theta$  and  $\Gamma(\theta) = (\sin \theta \cos^2 \theta)^{\frac{\alpha}{3}}$  (see Theorem 5.2). So we'd like express  $F = F_* + g$  and then

$$g + D_z g - \frac{1}{\alpha} (L_{12} F_*) g - \frac{1}{\alpha} F_* L_{12} g - \frac{3}{2\alpha} (L_{12} F_*) D_\theta g = -\mu F_* - (\mu + \lambda + \mu \lambda) D_z F_* + \mathcal{N}_* + \mathcal{N} + \mathcal{N}_0$$
(5.3)

with

$$\mathcal{N}_{0} = \frac{1}{\alpha} g L_{12} g + \frac{3}{2\alpha} (L_{12} F_{*}) D_{\theta} F_{*} + \frac{3}{2\alpha} (L_{12} g) D_{\theta} F - (\cos 2\theta - \sin^{2} \theta) L_{12} F D_{z} F,$$
  
$$\mathcal{N}_{*} = -\mu g - (\mu + \lambda + \mu \lambda) D_{z} g.$$

Notice that

$$L_{12}F_* = \alpha \int_z^\infty \int_0^{\frac{\pi}{2}} \frac{F(\theta)K(\theta)}{c^*} \frac{2}{(1+z')^2} d\theta dz'$$
$$= \alpha \int_z^\infty \frac{2}{(1+z')^2} dz' = \frac{2\alpha}{1+z}.$$

Consequently, the left hand side of equation (5.3) can be expressed explicitly as

$$\mathcal{L}_{\Gamma}g - \frac{3}{1+z}D_{\theta}g,$$

with the operator  $\mathcal{L}_{\Gamma}$  defined by

$$\mathcal{L}_{\Gamma}f \coloneqq \mathcal{L}f - \frac{\Gamma}{c^*} \frac{2z}{(1+z)^2} L_{12}f \coloneqq f + D_z f - \frac{2}{1+z} f - \frac{\Gamma}{c^*} \frac{2z}{(1+z)^2} L_{12}f.$$

To estimate the term  $\frac{3}{1+z}D_{\theta}g$ , we give some observation first: Notice the right hand side of (5.3). We can calculate out that

$$-\mu F_* - (\mu + \lambda + \mu \lambda) D_z F_* = -\frac{2\mu\alpha\Gamma(\theta)}{c^*} \left( \left( 1 + \frac{\mu + \lambda + \mu\lambda}{\mu} \right) \frac{z}{(1+z)^2} - 2\frac{\mu + \lambda + \mu\lambda}{\mu} \frac{z^2}{(1+z)^3} \right).$$

The first term is eliminated if we set  $\mu + (\mu + \lambda + \mu\lambda) = 0 \iff \lambda = \frac{-2\mu}{\mu+1}$ . In this case, we have

$$\mathcal{L}_{\Gamma}g - \frac{3}{1+z}D_{\theta}g = -2\alpha\mu\frac{\Gamma}{c^*}\frac{2z^2}{(1+z)^3} + \mathcal{N}_* + \mathcal{N} + \mathcal{N}_0.$$

Motivated by this argument, we introduce a projector  $\mathbb{P}$  on  $\mathcal{H}([0,\infty) \times [0,\pi/2])(\mathbb{P}^2 = \mathbb{P}$ since it holds  $L_{12}(\mathbb{P}(f))(0) = 0$  for any f):<sup>12</sup>

$$\mathbb{P}(f)(z,\theta) = f(z,\theta) - \frac{\Gamma(\theta)}{c^*} \frac{2z^2}{(1+z)^3} L_{12}f(0).$$

Consequently, we get

$$\mathcal{L}_{\Gamma}^{T}g \coloneqq \mathcal{L}_{\Gamma}g - \mathbb{P}\left(\frac{3}{1+z}D_{\theta}g\right) = \frac{\Gamma(\theta)}{c^{*}}\frac{2z^{2}}{(1+z)^{3}}\left(L_{12}\left(\frac{3}{1+z}D_{\theta}g\right)(0) - 2\alpha\mu\right) + \mathcal{N}_{*} + \mathcal{N} + \mathcal{N}_{0}.$$

Moreover, we notice that  $L_{12}g(0) \Longrightarrow L_{12}(\mathcal{N}_*)(0) = 0$ . Under this condition, the equation can be transform as following form

$$\mathcal{L}_{\Gamma}^{T}g = \mathbb{P}(\mathcal{N}_{*} + \mathcal{N} + \mathcal{N}_{0}),$$

if we let

$$L_{12}\left(\frac{3}{1+z}D_{\theta}g\right)(0) - 2\alpha\mu = -L_{12}(\mathcal{N} + \mathcal{N}_0)(0) \iff \mu = \frac{1}{2\alpha}L_{12}\left(\mathcal{N} + \mathcal{N}_0 + \frac{3}{1+z}D_{\theta}g\right)(0).$$

Finally, we conclude our discussion as the following theorem:

**Theorem 5.1.** Suppose  $F = F_* + g$  is a solution of system (5.2) with

$$F_*(z,\theta) = \alpha \frac{\Gamma(\theta)}{c^*} \frac{2z}{(1+z)^2}.$$
(5.4)

Then g satisfies the following equation

$$\mathcal{L}_{\Gamma}^{T}g = \mathbb{P}(\mathcal{N}_{*} + \mathcal{N} + \mathcal{N}_{0}),$$
  

$$\mathcal{N}_{*} = -\mu g - (\mu + \lambda + \mu \lambda)D_{z}g,$$
  

$$\mathcal{N} = 2R(\hat{\Phi})F - 2U(\hat{\Phi})\partial_{\theta}F - 2\alpha V(\hat{\Phi})D_{z}F$$
  

$$- (F, K)_{\theta} \left(\frac{1}{2}D_{\theta}F + (\sin^{2}\theta)F\right),$$
  

$$\mathcal{N}_{0} = \frac{1}{\alpha}gL_{12}g + \frac{3}{1+z}D_{\theta}F_{*} + \frac{3}{2\alpha}(L_{12}g)D_{\theta}F$$
  

$$- (\cos 2\theta - \sin^{2}\theta)L_{12}FD_{z}F,$$
  
(5.5)

<sup>12</sup>Notice  $\int_0^\infty \frac{2z}{(1+z)^3} dz = -\frac{2x+1}{(x+1)}\Big|_0^\infty = 1$ . Particularly, the image of the projector is the functions f which satisfies  $L_{12}(f)(0) = 0$ .

if we assume the coefficient relation and restriction condition as

$$\mu = \frac{1}{2\alpha} L_{12} \left( \mathcal{N} + \mathcal{N}_0 + \frac{3}{1+z} D_\theta g \right) (0), \lambda = -\frac{2\mu}{1+\mu} \text{ and } L_{12}(g) = 0.$$
 (5.6)

In the further investigation, we will establish the coercivity of the transport operator  $\mathcal{L}_{\Gamma}^{T}$  (see Theorem 5.4) and elliptic estimates of  $\hat{\Phi}$  (see Theorem 5.5) in the weighted space. Applying these two, a priori estimate will be obtained for g. Then the existence follows from a compactness argument.

#### 5.3 Fundamental model

The idea of seeking for a fundamental model is inspired by the former work of Elgindi, where he neglects the transport term and focuses on the vortex stretching. Respectively in our case, we eliminate the term  $U(\Psi)\partial_{\theta}F, V(\Psi)D_zF$  and obtain

$$\begin{cases} \frac{1}{2}\partial_t \Omega = R(\Phi)\Omega, \\ L\Psi = -\Omega. \end{cases}$$

However, this model is not explicit enough that we can give out a precise solution. And the idea is analyze the singularity of  $\Psi$  and observe that

$$\Psi = -L^{-1}\Omega = \frac{1}{4\alpha}\sin 2\theta L_{12}\Omega + \text{low order terms.}$$

Substitute the singular part into stretching operator:

$$R(\Psi)\Omega = \frac{1}{2\alpha}L_{12}\Omega - \frac{1}{2}\sin^2\theta \left(\Omega, K\right)_{\theta}.$$

As the later term is of low order (eliminated by Cauchy-Schwartz). Our main concern is now degenerated as the following form:

$$\partial_t \Omega = \frac{1}{\alpha} \Omega L_{12} \Omega. \tag{5.7}$$

The following theorem gives a explicit self-similar solution for the equation (5.7).

**Theorem 5.2.** The fundamental model (5.7) possesses a family of self-similar solution of the form

$$\Omega(R,\theta,t) = \frac{1}{1-t} F_*\left(\frac{R}{1-t},\theta\right) = \frac{1}{1-t} \alpha \frac{\Gamma(\theta)}{c^*} F_{*,r}\left(\frac{R}{1-t}\right)$$

where  $F_{*,r} = \frac{2z}{(1+z)^2}$  and  $c^* = \int_0^{\frac{\pi}{2}} K(\theta) \Gamma(\theta) d\theta$ . Here  $\Gamma(\theta)$  is some undetermined function satisfies  $K\Gamma \in L^1_{\theta}$ .

**Remark 5.1.** Particularly,  $F_*$  satisfies the profile equation  $F_* + D_z F_* = \frac{1}{\alpha} F_* L_{12} F_*$  for variable  $z = \frac{R}{1-t}$ .

*Proof.* Check later.

## 5.4 Transport coercivity

We define the following quantities and operators: Suppose the undetermined  $z \in [0, \infty), \theta \in [0, \frac{\pi}{2}]$ . And denote the following coefficients:

$$\alpha \in (0,1), \gamma = 1 + \frac{\alpha}{10}, \eta = \frac{99}{100}.$$

Now suppose  $f(z, \theta)$  and angular kernels

$$K(\theta) = 3\sin\theta\cos\theta^2, \Gamma(\theta) = (\sin\theta\cos\theta^2)^{\frac{\alpha}{3}},$$

then we set operators:

$$L_{12}f(z) = \int_{z}^{\infty} \int_{0}^{\frac{\pi}{2}} \frac{f(z',\theta')K(\theta')}{z'} d\theta' dz',$$
  
$$\mathcal{L}f(z,\theta) = f + D_{z}f - \frac{2f}{1+z},$$
  
$$\mathcal{L}_{\Gamma}f(z,\theta) = \mathcal{L}f - \frac{\Gamma}{c^{*}} \cdot \frac{2z}{(1+z)^{2}} L_{12}f,$$
  
$$\mathcal{L}_{\Gamma}^{T}f(z,\theta) = \mathcal{L}_{\Gamma}f - \mathbb{P}\left(\frac{3}{1+z}D_{\theta}f\right),$$

where  $D_z = z\partial_z, D_\theta = \sin(2\theta)\partial_\theta$ ,

$$c^* = \int_0^{\frac{\pi}{2}} K(\theta) \Gamma(\theta) d\theta,$$

and  $\mathbb{P}$  is a projector defined by

$$\mathbb{P}f(z,\theta) = f - \frac{\Gamma}{c^*} \cdot \frac{2z^2}{(1+z)^3} L_{12}f(0).$$

There the image of  $\mathbb{P}$  consist with functions  $g = \mathbb{P}f$  satisfies  $L_{12}(g)(0)$ . Indeed, we can check that

$$L_{12}\left(\frac{\Gamma(\theta)}{c^*}\frac{2z^2}{(1+z)^3}\right)(0) = \int_0^\infty \frac{2z}{(1+z)^3} dz \int_0^{\frac{\pi}{2}} K(\theta)\Gamma(\theta)/c^* d\theta = 1,$$

and then

$$L_{12}g(0) = L_{12}(\mathbb{P}f)(0) = L_{12}f(0) - L_{12}f(0) = 0.$$

Moreover, we assume the following radial and angular weights

$$w_z = \frac{(1+z)^2}{z^2}, w_\theta = \sin(2\theta)^{-\frac{\gamma}{2}}, v_\theta = \sin(2\theta)^{-\frac{\eta}{2}}.$$

Lemma 5.1. Some important relations:

1.  $L_{12} \circ \mathcal{L}_{\Gamma} = \mathcal{L} \circ L_{12};$ 2.  $\mathcal{L}fw_z = fw_z + D_z(fw_z).$ 

*Proof.* The first is directly from the Tricomi identity:

$$L_{12}(fL_{12}g + gL_{12}f) = L_{12}fL_{12}g.$$

The second is from the fact that  $w_z$  satisfies the following equation:

$$D_z w_z + \frac{1}{\alpha} L_{12} F_* w_z = 0.$$

And consequently,

$$\mathcal{L}fw_{z} = fw_{z} + D_{z}fw_{z} - \frac{1}{\alpha}(L_{12}F_{*})fw_{z}$$
  
=  $fw_{z} + D_{z}(fw_{z}) - fD_{z}w - \frac{1}{\alpha}(L_{12}F_{*})fw_{z}$   
=  $fw_{z} + D_{z}(fw_{z}).$ 

**Proposition 5.1.** 1. 
$$c^* = 3 \int_0^{\frac{\pi}{2}} (\sin \theta \cos^2 \theta)^{1+\frac{\alpha}{3}} d\theta \in (\frac{5\pi}{24}, 1) \text{ for } \alpha \in (0, 1);$$

- 2.  $\left\| \frac{\Gamma}{c^*} K \right\|_{L^2_{\theta}} \le \frac{7}{10};$
- 3.  $|D_{\theta}\Gamma| \leq 2\alpha\Gamma$ .

The following theorem tells the coercivity of above transport operators.

**Theorem 5.3.** Suppose  $L_{12}, \mathcal{L}, \mathcal{L}_{\Gamma}, \mathcal{L}_{\Gamma}^T$  are defined as above. Then we have

$$\|L_{12}fw_z\|_{L^2} \le 4\|fw_z\|_{L^2},\tag{5.8}$$

$$(\mathcal{L}_{\Gamma} f w_z, f w_z)_{L^2} \ge \frac{1}{4} \| f w_z \|_{L^2}^2,$$
(5.9)

$$\left(\mathcal{L}_{\Gamma}^{T} f w_{z}, f w_{z}\right)_{L^{2}} \geq \frac{1}{5} \|f w_{z}\|_{L^{2}}^{2} - 100 \|D_{\theta} f w_{z}\|_{L^{2}}^{2},$$
(5.10)

$$\left(D_{\theta}(\mathcal{L}_{\Gamma}^{T}f)w_{z}w_{\theta}, D_{\theta}fw_{z}w_{\theta}\right)_{L^{2}} \geq \left(\frac{1}{4} - \alpha\right) \|D_{\theta}fw_{z}w_{\theta}\|_{L^{2}}^{2} - 10^{7}\alpha\|fw_{z}\|_{L^{2}}^{2}, \tag{5.11}$$

$$\left(\mathcal{L}_{\Gamma}^{T} f w_{z} v_{\theta}, f w_{z} v_{\theta}\right)_{L^{2}} \geq \frac{1}{5} \|f w_{z} w_{\theta}\|_{L^{2}}^{2} - 10^{5} \left\|\frac{1}{z} L_{12} f\right\|_{L^{2}}^{2},$$
(5.12)

$$\left(D_{z}(\mathcal{L}_{\Gamma}^{T}f)w_{z}v_{\theta}, D_{z}fw_{z}v_{\theta}\right)_{L^{2}} \geq \frac{1}{4}\|D_{z}fw_{z}v_{\theta}\|_{L^{2}}^{2} - 10^{8}\|D_{\theta}fw_{z}v_{z}\|_{L^{2}}^{2} - 10^{8}\|fw_{z}w_{\theta}\|_{L^{2}}^{2}, \quad (5.13)$$

*Proof of* (5.8). We notice that

$$w_z^2 = \frac{(1+z)^4}{z^4} = 1 + 4z^{-1} + 6z^{-2} + 4z^{-3} + z^{-4} \sim 1 + 6z^{-2} + z^{-4}.$$

Then it is enough to show that

$$\left\|z^{-\frac{k}{2}}L_{12}f\right\|_{L^2_z} \le 4\left\|z^{-\frac{k}{2}}f\right\|_{L^2_{z,\theta}}, \forall k \text{ is even.}$$

*Proof of* (5.11). We notice that

$$\mathcal{L}_{\Gamma}^{T}f = \mathcal{L}f - \frac{3}{1+z}D_{\theta}f - \frac{2}{c^{*}}\Gamma\left(\frac{z}{(1+z)^{2}}L_{12}f + \frac{z^{2}}{(1+z)^{3}}L_{12}\left(\frac{3}{1+z}D_{\theta}f\right)(0)\right).$$

We denote Af(z) the bracketed term in the last term, then it comes

$$\begin{split} & \left(D_{\theta}(\mathcal{L}_{\Gamma}^{T}f)w_{z}w_{\theta}, D_{\theta}fw_{z}w_{\theta}\right)_{L^{2}} \\ &= \left(\mathcal{L}(w_{\theta}D_{\theta}f)w_{z}, w_{\theta}D_{\theta}fw_{z}\right)_{L^{2}} - \frac{3}{2}\left(\sin(2\theta)^{-(1+\frac{\alpha}{10})}, \frac{w_{z}^{2}}{1+z}D_{\theta}((D_{\theta}f)^{2})\right)_{L^{2}} \\ &- \frac{2}{c^{*}}\int_{0}^{\infty}\int_{0}^{\frac{\pi}{2}}D_{\theta}\Gamma \cdot Af \cdot D_{\theta}f \cdot w_{z}^{2}w_{\theta}^{2} d\theta dz \\ &\geq \frac{1}{4}\|D_{\theta}fw_{z}w_{\theta}\|_{L^{2}}^{2} + \frac{3}{2}\left(\partial_{\theta}(\sin(2\theta)^{-\frac{\alpha}{10}}), \frac{w_{z}^{2}}{1+z}(D_{\theta}f)^{2}\right)_{L^{2}} \\ &- \frac{2}{c^{*}}\|D_{\theta}\Gamma w_{\theta}\|_{L^{2}_{\theta}}\|Afw_{z}\|_{L^{2}_{z}}\|D_{\theta}fw_{z}w_{\theta}\|_{L^{2}} \\ &\geq \frac{1}{4}\|D_{\theta}fw_{z}w_{\theta}\|_{L^{2}}^{2} - \frac{3}{2}\cdot\frac{\alpha}{10}\cdot 2\left(\sin(2\theta)^{-(1+\frac{\alpha}{10})}, \frac{w_{z}^{2}}{1+z}(D_{\theta}f)^{2}\right)_{L^{2}} \\ &- (4\pi\alpha)^{\frac{1}{2}}\cdot 39\|fw_{z}\|_{L^{2}}\|D_{\theta}fw_{z}w_{\theta}\|_{L^{2}} \\ &\geq (\frac{1}{5}-\alpha)\|D_{\theta}fw_{z}w_{\theta}\|_{L^{2}}^{2} - 10^{7}\alpha\|fw_{z}\|_{L^{2}}, \end{split}$$

where we have apply the following estimates for  $D_{\theta}\Gamma$  and Af: Proof of (5.12).

With the above estimates, it is natural to define the weighted Sobolev space as following:

$$\|f\|_{\mathcal{H}^{k}}^{2} = \sum_{i=0}^{k} \left\|D_{z}^{i}fw_{z}v_{\theta}\right\|_{L^{2}}^{2} + \sum_{i+j\leq k,j\geq 0} \left\|D_{z}^{i}D_{\theta}^{j}fw_{z}w_{\theta}\right\|_{L^{2}}^{2}.$$
(5.14)

Then we claim the standard coercivity of  $L_{\Gamma}^{T}$  in  $\mathcal{H}^{k}$ :

**Theorem 5.4.** Fix  $\alpha \leq 10^{-14}$  and  $k \in \mathbb{N}$ . Then there exists  $C_k$  that for any  $f \in \mathcal{H}^k$ ,

$$(L_{\Gamma}^T f, f)_{\mathcal{H}^k} \ge C_k \|f\|_{\mathcal{H}}^k.$$

### 5.5 Elliptic estimate

We recall the profile equation of stream equation derived in Section ??:

$$L_z\Phi + L_\theta\Phi = \alpha^2 D_z^2\Phi + \alpha(5+\alpha)D_z\Phi + \partial_\theta^2\Phi - \partial_\theta(\tan\theta\Phi) + 6\Phi = -F, \qquad (5.15)$$

with Dirichlet boundary conditions

$$\Phi(z,0) = \Phi\left(z,\frac{\pi}{2}\right) = 0 \text{ and } \Phi(z,\theta) \to 0 \text{ as } z \to 0.$$
(5.16)

The main result of this section is the following  $\mathcal{H}^k$ -elliptic estimates:

**Theorem 5.5.** Fix  $k \geq 2$ , then there eixsts  $C_k > 0$  such that for any  $\alpha \in [0, 1/4], \gamma \in [1, 5/4]$ , if  $F \in \mathcal{H}^k$  satisfies the following orthogonal condition

$$F_{\star}(z) \coloneqq \left(F(z,\theta), \sin\theta\cos^2\theta\right)_{L^2_{\theta}} \equiv 0, \qquad (5.17)$$

then there exists a unique  $\mathcal{H}^k$ -solution  $\Phi$  to (5.15)-(5.16) on  $[0,\infty)\times[0,\pi/2]$ , which satisfies

$$\alpha^2 \left\| D_z^2 \Phi \right\|_{\mathcal{H}^k} + \left\| \partial_\theta^2 \Phi \right\|_{\mathcal{H}^k} \le C_k \|F\|_{\mathcal{H}^k}.$$
(5.18)

**Remark 5.2.** Notice that  $\sin\theta\cos^2\theta$  is the unique adjoint kernel of  $L_{\theta}$ , i.e.

$$(L_{\theta}f, \sin\theta\cos^2\theta)_{\theta} = 0, \forall f \in L_{\theta}^2$$

(the detailed calculation is as following:

$$\left(\partial_{\theta}^{2}f - \partial_{\theta}(\tan\theta f) + 6f, \sin\theta\cos^{2}\theta\right)_{L^{2}_{\theta}} = \left(f, (\partial_{\theta}^{2} + \tan\theta\partial_{\theta} + 6)(\sin\theta\cos^{2}\theta)\right)_{L^{2}_{\theta}}$$
$$= \left(f, -7\sin\theta\cos^{2}\theta + 2\sin^{3}\theta + \tan\theta(\cos^{3}\theta - 2\sin^{2}\theta\cos\theta) + 6\sin\theta\cos^{2}\theta\right)_{L^{2}_{\theta}} = 0.$$

) Thus the condition (5.17) is necessary to eliminate some singularity in the elliptic estimates. Indeed, we have the following estimate if it does not hold:

$$\alpha^{2} \left\| D_{z}^{2} \Phi \right\|_{\mathcal{H}^{k}} + \left\| \partial_{\theta}^{2} \left( \Phi - \frac{1}{4\alpha} \sin 2\theta L_{12} F \right) \right\|_{\mathcal{H}^{k}} \le C_{k} \|F\|_{\mathcal{H}^{k}}, \tag{5.19}$$

The proof is listed in the last of this section.

**Remark 5.3.** It is noticeable that  $\hat{\Phi}$  is linearly dependent on F. Indeed, we see that  $\Phi$  is the image of F on the following operator:

$$-L^{-1} - \frac{1}{4\alpha}\sin 2\theta L_{12}.$$

We'd like denote  $\hat{\Phi}_f = -L^{-1}f - \frac{1}{4\alpha}\sin 2\theta L_{12}f$  in the further discussion. Particularly,  $\hat{\Phi} = \Phi_F$  in our case.

Now we sketch the proof the Theorem 5.5. First the existence and uniqueness of the  $L^2$ -solution  $\Phi$  comes from the standard  $L^p$ -theory as the orthogonal condition (5.17) holds?. Similarly with the proof of transport coercivity, we first establish a  $L^2$ - estimate (without weights), and apply it to derive a  $\mathcal{H}^2$ -elliptic estimate, after which the  $\mathcal{H}^k$ -case follows from a induction. During the proof, some angular Hardy-type estimates will be used(check them in the appendix ??).

**Lemma 5.2** ( $L^2$ -estimate). Suppose  $\Phi$  is the unique solution obtained above, then

$$\left\|\partial_{\theta}\tilde{\Phi}\right\|_{L^{2}} + \left\|\partial_{\theta}^{2}\Phi\right\|_{L^{2}} + \alpha^{2}\left\|D_{z}^{2}\Phi\right\|_{L^{2}} \le 100\|F\|_{L^{2}},\tag{5.20}$$

where  $\tilde{\Phi} \coloneqq \Phi / \cos \theta$ .

*Proof.* Step 1: First we show that  $\Phi_{\star}(z) = (\Phi(z,\theta), \sin\theta\cos^2\theta)_{L^2_{\theta}} \equiv 0$ . Multiplying the both side of (5.15) with  $\sin\theta\cos^2\theta$  and integrating in  $\theta$ , we can see

$$\alpha^2 D_z^2 \Phi_\star + \alpha (5+\alpha) D_z \Phi_\star = (L_z \Phi, \sin \theta \cos^2 \theta) = 0.$$

This is exactly a ODE with characteristic equation

$$\alpha^2 \lambda (\lambda - 1) + \alpha (5 + \alpha) \lambda = 0 \Longrightarrow \lambda_1 = 0, \lambda = -5/\alpha.$$

Consequently, we have solution formulated as

$$\Phi_\star(z) = c_1 + c_2 z^{-5/\alpha}.$$

Moreover, the Dirichlet condition  $\Phi(z,\theta) \to 0$  as  $z \to \infty$  implies  $c_1 = 0$ , and  $z^2 \Phi|_{z=0} = 0$ ? implies  $c_2 = 0$ . In conclusion, we get  $\Phi_{\star}(z) \equiv 0$ .

**Step 2:** We derive the  $L^2$ -estimate for  $\partial_{\theta} \Phi$ . Multiplying the both side of (5.15) with  $\Phi$  and integrating in  $(z, \theta)$ , it comes

$$\alpha^{2} \|D_{z}\Phi\|_{L^{2}}^{2} - \alpha^{2} \|\Phi\|_{L^{2}}^{2} + \frac{\alpha(5+\alpha)}{2} \|\Phi\|_{L^{2}}^{2} + \|\partial_{\theta}\Phi\|_{L^{2}}^{2} + \frac{1}{2} \|\Phi/\cos\theta\|_{L^{2}}^{2} - 6 \|\Phi\|_{L^{2}}^{2} = (F,\Phi)_{L^{2}}.$$

(Just a bunch of integrations by part:

$$(D_z^2 \Phi, \Phi)_{L^2} = \iint z^2 \Phi \partial_z^2 \Phi = - \iint (2z\Phi + z^2 \partial_z \Phi) \partial_z \Phi$$

$$= - \iint (z\partial_z (\Phi^2)) - \iint (z^2 \partial_z^2 \Phi)$$

$$= - (||D_z^2 \Phi||_{L^2}^2 - ||\Phi^2||_{L^2}^2),$$

$$(D_z \Phi, \Phi) = -\frac{1}{2} ||\Phi||_{L^2}^2, (\partial_\theta^2 \Phi, \Phi) = -||\partial_\theta \Phi||_{L^2}^2,$$

$$(\partial_\theta (\tan \theta \Phi), \Phi) = - \iint \tan \theta \Phi \partial_\theta \Phi = -\frac{1}{2} \iint \tan \theta \partial_\theta (\Phi^2) = \frac{1}{2} ||\Phi/\cos \theta||_{L^2}^2.$$

Notice the negative signs are shifted to the right side.) Consequently, since  $-\alpha^2 + \alpha(5 + \alpha)/2 = \alpha(5 - \alpha) > 0$ , we get

$$\|\partial_{\theta}\Phi\|_{L^{2}}^{2} - 6\|\Phi\|_{L^{2}}^{2} \le \|F\|_{L^{2}}\|\Phi\|_{L^{2}}.$$
(5.21)

Following we use the Fourier expansion of  $\Phi$ :<sup>13</sup>

$$\Phi = \sum_{n \ge 1} \Phi_n(z) \sin(2n\theta), \Phi_n(z) = \frac{4}{\pi} \int_0^{\frac{\pi}{2}} \Phi(z,\theta) \sin(2n\theta) d\theta.$$

Then since  $\{\sin 2n\theta, \cos 2n\theta\}$  is a family of orthogonal basis of  $L^2([0, \pi/2])$  with norm  $\|\sin 2n\theta\|_{L^2_{\theta}}^2 = \|\cos 2n\theta\|_{L^2_{\theta}}^2 = \pi/4$ , we have

$$\begin{aligned} \|\partial_{\theta}\Phi\|_{L^{2}}^{2} &= \left\|\sum_{n\geq 1} 2n\Phi_{n}(z)\cos 2n\theta\right\|_{L^{2}}^{2} = \sum_{n\geq 1} 4n^{2}\|\Phi_{n}\|_{L^{2}}^{2}\|\cos 2nx\|_{L^{2}}^{2} = \frac{\pi}{4}\sum_{n\geq 1} 4n^{2}\|\Phi_{n}\|_{L^{2}}^{2},\\ -6\|\Phi\|_{L^{2}}^{2} &= -6\left\|\sum_{n\geq 1} \Phi_{n}(z)\sin 2n\theta\right\|_{L^{2}}^{2} = -\frac{\pi}{4}\sum_{n\geq 1} 6\|\Phi_{n}\|_{L^{2}}^{2}.\end{aligned}$$

Since the coefficient is negative for n = 1, we'd like to write (5.21) as the following form:

$$\sum_{n\geq 2} (4n^2 - 6) \|\Phi_n\|_{L^2_z}^2 \le 2 \|\Phi_1\|_{L^2_z}^2 + \frac{4}{\pi} \|F\|_{L^2} \|\Phi\|_{L^2}.$$
(5.22)

To handle the term  $\|\Phi_1\|_{L^2}^2$ , we notice that<sup>14</sup>

$$0 = \Phi_{\star}(z) = \left(\Phi(z,\theta), \sin\theta\cos^2\theta\right)_{L^2_{\theta}} = \sum_{n\geq 1} \Phi_n(z) \int_0^{\frac{\pi}{2}} (\sin\theta\cos^2\theta\sin2n\theta)d\theta$$
$$= \sum_{n\geq 1} \frac{4n\cos(n\pi)}{16n^4 - 40n^2 + 9} \Phi_n = \sum_{n\geq 1} (-1)^n \frac{4n}{(4n^2 - 9)(4n^2 - 1)} \Phi_n.$$

This implies

$$\begin{split} \|\Phi_1\|_{L^2_z}^2 &\leq \sum_{n\geq 2} \left(\frac{15}{4} \frac{4n}{(4n^2-9)(4n^2-1)}\right)^2 \|\Phi_n\|_{L^2_z}^2 = \sum_{n\geq 2} \frac{225n^2}{(4n^2-9)^2(4n^2-1)^2} \|\Phi_n\|_{L^2_z}^2 \\ &\leq \sum_{n\geq 2} \frac{225n^2}{(4n^2-9)^2(4n^2-1)^2} \|\Phi_n\|_{L^2_z}^2 \leq \sum_{n\geq 2} \frac{n^2}{(4n^2-9)^2} \|\Phi_n\|_{L^2_z}^2 \\ &\leq \sum_{n\geq 2} \frac{1}{n^2} \|\Phi_n\|_{L^2_z}^2 \leq \sum_{n\geq 2} \|\Phi_n\|_{L^2}^2. \end{split}$$

<sup>13</sup>After Fourier expansion we can transform the derivatives (to  $\theta$ ) into some algebraic operation and then handle them easily. The idea is similar with Fourier transform.

<sup>14</sup>Indefinite integral see Wolfram.

We substitute it into (5.22), then

$$\sum_{n\geq 2} (4n^2 - 8) \|\Phi_n\|_{L^2_z}^2 \le \frac{4}{\pi} \|F\|_{L^2} \|\Phi\|_{L^2}.$$

And then we have

$$\begin{split} \sum_{n\geq 1} (n^2+1) \|\Phi_n\|_{L^2_z}^2 &= \sum_{n\geq 2} (n^2+1) \|\Phi_n\|_{L^2_z}^2 + 2 \|\Phi_1\|_{L^2_z}^2 \\ &\leq \sum_{n\geq 2} (n^2+3) \|\Phi_n\|_{L^2}^2 \leq \sum_{n\geq 2} \left(4n^2-8\right) \|\Phi_n\|_{L^2_z}^2 \\ &\leq \frac{4}{\pi} \|F\|_{L^2} \|\Phi\|_{L^2}^2 \leq \frac{1}{4} \|F\|_{L^2}^2 + \frac{4}{\pi} \|\Phi\|_{L^2}^2 \\ &\leq \frac{1}{4} \|F\|_{L^2}^2 + \sum_{n\geq 1} \|\Phi_n\|_{L^2_z}^2. \end{split}$$

Finally, we see

$$\left\|\partial_{\theta}\Phi\right\|_{L^{2}}^{2} = \frac{\pi}{4}\sum_{n\geq 1}4n^{2}\left\|\Phi_{n}\right\|_{L^{2}_{z}}^{2} \le \frac{\pi}{4}\left\|F\right\|_{L^{2}}^{2} \Longrightarrow \left\|\partial_{\theta}\Phi\right\|_{L^{2}} \le \|F\|_{L^{2}_{z}}.$$

**Step 3:** Using the bound for  $\partial_{\theta} \Phi$  and Hardy-type inequalities, now we establish the estimate for  $\partial_{\theta}^2 \Phi$ ,  $\partial_{\theta}(\Phi/\cos\theta)$  and  $D_z^2 \Phi$  respectively. First we test (5.15) with  $\partial_{\theta}^2 \Phi$  and get

$$\alpha^{2} \|D_{z}\partial_{\theta}\Phi\|_{L^{2}}^{2} - \alpha^{2} \|\partial_{\theta}\Phi\|_{L^{2}}^{2} + \frac{\alpha(5+\alpha)}{2} \|\partial_{\theta}\Phi\|_{L^{2}}^{2} + \|\partial_{\theta}^{2}\Phi\|_{L^{2}}^{2} -6 \|\partial_{\theta}\Phi\|_{L^{2}}^{2} - \int_{0}^{\frac{\pi}{2}} \partial_{\theta} (\tan\theta\Phi) \partial_{\theta}^{2}\Phi = -(F,\partial_{\theta}^{2}\Phi)_{L^{2}}.$$

The main difficulty is the last term on the left side. Following we will show that it will be

controlled by  $\tilde{\Phi} = \Phi / \cos \theta$ . Integrating by part to eliminate the high order term:

$$\begin{split} &-\int_{0}^{\frac{\pi}{2}}\partial_{\theta}\left(\tan\theta\Phi\right)\partial_{\theta}^{2}\Phi\ d\theta = -\int_{0}^{\frac{\pi}{2}}\left(\sin\tilde{\Phi}\right)\partial_{\theta}^{2}\left(\cos\theta\tilde{\Phi}\right)d\theta\\ &= -\int_{0}^{\frac{\pi}{2}}\left(\sin\theta\partial_{\theta}\tilde{\Phi} + \cos\theta\tilde{\Phi}\right)\left(-\cos\theta\tilde{\Phi} - 2\sin\theta\partial_{\theta}\tilde{\Phi} + \cos\theta\partial_{\theta}^{2}\tilde{\Phi}\right)\\ &= \int_{0}^{\frac{\pi}{2}}\left(2\sin^{2}\theta(\partial_{\theta}\tilde{\Phi})^{2} - \sin\theta\cos\theta\partial_{\theta}\tilde{\Phi}\partial_{\theta}^{2}\tilde{\Phi} + \cos^{2}\theta\tilde{\Phi}^{2} + 3\sin\theta\cos\theta\tilde{\Phi}\partial_{\theta}\tilde{\Phi} - \cos^{2}\theta\tilde{\Phi}\partial_{\theta}^{2}\tilde{\Phi}\right)d\theta\\ &= \int_{0}^{\frac{\pi}{2}}\left(2\sin^{2}\theta(\partial_{\theta}\tilde{\Phi})^{2} + \frac{1}{2}\partial_{\theta}(\sin\theta\cos\theta)(\partial_{\theta}\tilde{\Phi})^{2} + \cos^{2}\theta\tilde{\Phi}^{2} + 3\sin\theta\cos\theta\tilde{\Phi}\partial_{\theta}\tilde{\Phi} + \cos^{2}\theta(\partial_{\theta}\tilde{\Phi})^{2} - 2\sin\theta\cos\theta\tilde{\Phi}\partial_{\theta}\tilde{\Phi}\right)d\theta\\ &= \int_{0}^{\frac{\pi}{2}}\left(2\sin^{2}\theta(\partial_{\theta}\tilde{\Phi})^{2} + \frac{1}{2}(\cos^{2}\theta - \sin^{2}\theta)(\partial_{\theta}\tilde{\Phi})^{2} + \cos^{2}\theta\tilde{\Phi}^{2} - \frac{1}{2}(\cos^{2}\theta - \sin^{2}\theta)\tilde{\Phi}^{2} + \cos^{2}\theta(\partial_{\theta}\tilde{\Phi})^{2}\right)d\theta\\ &= \int_{0}^{\frac{\pi}{2}}\left(\frac{3}{2}(\partial_{\theta}\tilde{\Phi})^{2} + \frac{1}{2}\tilde{\Phi}^{2}\right)d\theta. \end{split}$$

Substitute this into the test equation and neglect the radial terms (as them keep positive), then we finally get:

$$\begin{split} \left\| \partial_{\theta}^{2} \Phi \right\|_{L^{2}}^{2} + \frac{3}{2} \left\| \partial_{\theta} \tilde{\Phi} \right\|_{L^{2}}^{2} \leq & 6 \| \partial_{\theta} \Phi \|_{L^{2}}^{2} + \frac{1}{2} \left\| \tilde{\Phi} \right\|_{L^{2}}^{2} - \left( F, \partial_{\theta}^{2} \Phi \right)_{L^{2}} \\ \leq & 11 \| \partial_{\theta} \Phi \|_{L^{2}}^{2} + 5 \| \partial_{\theta} \Phi \|_{L^{2}}^{2} + \frac{1}{2} \left\| \partial_{\theta}^{2} \Phi \right\|, \end{split}$$

which yields

$$\left\|\partial_{\theta}^{2}\Phi\right\|_{L^{2}}^{2} + 3\left\|\partial_{\theta}\tilde{\Phi}\right\|_{L^{2}}^{2} \le 23\|F\|_{L^{2}}^{2}.$$

The radial part can be obtained by a similar argument  $\blacktriangle$ .

Next we give out the proof of k = 2 case for Theorem 5.5.

Proof of Theorem 5.5. We will add it later.

Proof of Remark 5.2. Recall that  $\sin 2\theta$  is in the kernel of  $L_{\theta} = \partial_{\theta}^2 - \partial_{\theta}(\tan \theta \cdot) + 6$  Id since

$$\partial_{\theta}^{2}(\sin 2\theta) - \partial_{\theta}(\tan \theta \sin 2\theta) + 6\sin 2\theta = -4\sin 2\theta - 2\sin 2\theta + 6\sin 2\theta = 0.$$

Consequently, we consider  $\hat{\Phi} = \Phi - g(z) \sin 2\theta$  such that

$$L\hat{\phi} = L\Phi - L(g\sin 2\theta) = F - L_z g\sin 2\theta =: \tilde{F}.$$

Now it remains to determine g such that  $\tilde{F}_{\star} = (\tilde{F}, \sin \theta \cos^2 \theta) \equiv 0$ , and then the elliptic estimate holds for  $\hat{\Phi}$  immediately. Accordingly, g is determined by

$$(F - L_z g \sin 2\theta, \sin \theta \cos^2 \theta)_{L^2_{\theta}} \equiv 0,$$

which yields:

$$L_z g = \left(\int_0^{\frac{\pi}{2}} \sin 2\theta \sin \theta \cos^2 \theta d\theta\right)^{-1} \left(F, \sin \theta \cos^2 \theta\right)_{\theta} = \frac{15}{4} F_{\star}.$$

This is a linear ODE and we can solve it via integral factor:

$$g(z) = -\frac{15}{4\alpha^2} \int_z^\infty \rho^{-(\frac{5}{\alpha}+1)} \int_0^\rho s^{\frac{5}{\alpha}-1} F_\star(s) ds d\rho$$
  
$$= \frac{3}{4\alpha} \int_z^\infty \partial_\rho (\rho^{-\frac{5}{\alpha}}) \int_0^\rho s^{\frac{5}{\alpha}-1} F_\star(s) ds d\rho$$
  
$$= -\frac{3}{4\alpha} z^{-\frac{5}{\alpha}} \int_0^z \rho^{\frac{5}{\alpha}-1} F_\star(\rho) d\rho - \frac{3}{4\alpha} \int_z^\infty \frac{F_\star(\rho)}{\rho} d\rho$$
  
$$=: \bar{g} - \frac{1}{4\alpha} \int_z^\infty \int_0^{\frac{\pi}{2}} \frac{F \cdot 3\sin\theta\cos^2\theta}{\rho} d\theta d\rho.$$

We can easily prove that  $\bar{g}$  is of low order with estimate  $\|\bar{g}\|_{L^2} \leq C \|F\|_{L^2}$ . And the later term

$$L_{12}F \coloneqq \int_{z}^{\infty} \int_{0}^{\frac{\pi}{2}} \frac{F(z',\theta')K(\theta)}{z'} d\theta' dz', K(\theta) = 3\sin\theta\cos^{2}\theta,$$

is the main singularity.

## 5.6 A priori estimate

In our final calculation we aim to get a priori estimate for g satisfies  $L_{12}g(0) = 0$ . We recall that

$$\mathcal{L}_{\Gamma}^{T}g = \mathbb{P}(\mathcal{N}_{0} + \mathcal{N} + \mathcal{N}_{*}) = \mathcal{N}_{0} + \mathcal{N} + \mathcal{N}_{*} - L_{12}(\mathcal{N}_{0} + \mathcal{N})(0)\frac{\Gamma}{c^{*}}\frac{2z^{2}}{(1+z)^{3}}.$$

And consequently,

$$\left(\mathcal{L}_{\Gamma}^{T}g,g\right)_{\mathcal{H}^{4}} \leq \left|(\mathcal{N}_{0},g)_{\mathcal{H}^{4}}\right| + \left|(\mathcal{N},g)_{\mathcal{H}^{4}}\right| + \left|(\mathcal{N}_{*},g)_{\mathcal{H}^{4}}\right| + \left|L_{12}(\mathcal{N}_{0}+\mathcal{N})(0)\right| \left\|\frac{\Gamma}{c^{*}}\frac{2z^{2}}{(1+z)^{3}}\right\|_{\mathcal{H}^{4}} \|g\|_{\mathcal{H}^{4}}$$

We will finish the following estimate respectively:

$$\begin{aligned} |(\mathcal{N}_{0},g)_{\mathcal{H}^{4}}| &\leq C(\alpha^{2} \|g\|_{\mathcal{H}^{4}} + \alpha^{\frac{1}{2}} \|g\|_{\mathcal{H}^{4}}^{2} + \alpha^{-\frac{3}{2}} \|g\|_{\mathcal{H}^{4}}^{3}), \\ |(\mathcal{N},g)_{\mathcal{H}^{4}}| &\leq C(\alpha^{2} \|g\|_{\mathcal{H}^{4}} + \alpha^{\frac{1}{2}} \|g\|_{\mathcal{H}^{4}}^{2} + \alpha^{-\frac{3}{2}} \|g\|_{\mathcal{H}^{4}}^{3}), \\ |\mu| &\leq C\left(\alpha + \alpha^{-\frac{3}{2}} \|g\|_{\mathcal{H}^{4}} + \alpha^{-\frac{5}{2}} \|g\|_{\mathcal{H}^{4}}^{2}\right), \\ |(\mathcal{N}_{*},g)_{\mathcal{H}^{4}}| &\leq C|\mu| \|g\|_{\mathcal{H}^{4}}^{2} \leq C\left(\alpha \|g\|_{\mathcal{H}^{4}}^{2} + \alpha^{-\frac{3}{2}} \|g\|_{\mathcal{H}^{4}}^{4} \|g\|_{\mathcal{H}^{4}}^{3} + \alpha^{-\frac{5}{2}} \|g\|_{\mathcal{H}^{4}}^{4}\right). \end{aligned}$$

And in conclusion,

$$\|g\|_{\mathcal{H}^4}^2 \le (\mathcal{L}_{\Gamma}^T g, g)_{\mathcal{H}^4} \le C\left(\alpha^2 \|g\|_{\mathcal{H}^4} + \alpha^{\frac{1}{2}} \|g\|_{\mathcal{H}^4}^2 + \alpha^{-\frac{3}{2}} \|g\|_{\mathcal{H}^4} \|g\|_{\mathcal{H}^4}^3 + \alpha^{-\frac{5}{2}} \|g\|_{\mathcal{H}^4}^4\right).$$

Particularly, we shall see that  $\|g\|_{\mathcal{H}^4} \leq C\alpha^{\frac{7}{4}} \Longrightarrow \|g\|_{\mathcal{H}^4} \leq C\alpha^2$ .

# 5.7 Appendix

Projector